Ethical Issue Form

Please complete the Ethical Issue Table below, even if you believe that there are no ethical issues. Consider that ethical issues arise in many research areas. Apart from the obvious, the medical field, research protocols, e.g. in social sciences, might involve the voluntary participation of research subjects and the collection of data that might be considered as personal. You must protect your volunteers and also protect yourself (and your researcher colleagues).

**IMPORTANT:** All ethical issues raised by a proposed project (ticked *Yes*-boxes) must be described in the second section, the ethics self-assessment. You should explain how the raised ethical issues will be addressed, so as to conform with national and HORIZON 2020 ethical principles.

General information about ethics in Horizon 2020 is available at:

<http://ec.europa.eu/programmes/horizon2020/en/h2020-section/ethics>

Detailed information is provided in the HORIZON 2020 guideline “How to complete your ethics Self-Assessment”: <https://ec.europa.eu/research/participants/data/ref/h2020/grants_manual/hi/ethics/h2020_hi_ethics-self-assess_en.pdf>

|  |  |
| --- | --- |
| **Name of applicant:** |  |

|  |  |
| --- | --- |
| **E-Mail address:**  |  |

**Title and acronym of the proposed research project:**

Ethical Issue Table

Please fill in the ethical issue table by ticking either the *Yes*-box or the *No*-box for each question. Please note that if you answer *Yes* to any of the questions below, you are requested to provide additional information.

|  |  |  |
| --- | --- | --- |
| Research on Humans  | YES | NO |
| 1. Does your research involve human participants?  |  |  |
| 1. Are they volunteers for social or human science research?
 |  |  |
| 1. Are they vulnerable individuals or groups?
 |  |  |
| 1. Are they children/minors?
 |  |  |
| 1. Are they patients?
 |  |  |
| 1. Are they healthy volunteers (e.g. for medical studies)?
 |  |  |
| 2. Does your research involve physical interventions on the study participants?  |  |  |
| 1. Does it involve invasive techniques?
 |  |  |
| 1. Does it involve collection of biological samples?
 |  |  |

|  |  |  |
| --- | --- | --- |
| Privacy Issues  | YES | NO |
| 3. Does your research involve personal data collection and/or processing? |  |  |
| 1. Does it involve the collection and/or processing of sensitive personal data (e.g. health, sexual lifestyle, ethnic, political opinion, religious or philosophical conviction)?
 |  |  |
| 1. Does it involve processing or genetic information?
 |  |  |
| 1. Does it involve tracking or observation of participants?
 |  |  |

|  |  |  |
| --- | --- | --- |
| Third Countries | YES | NO |
| 4. Does your research involve non-EU countries? |  |  |
| 5. Do you plan to use local resources (e.g. animal and/or human tissue samples, genetic material, live animals, human remains, materials of historical value, endangered fauna or flora simples, etc.)?  |  |  |
| 6. Do you plan to import any material from non-UE countries into EU?  |  |  |
| 7. Do you plan to import any material from the UE to non-UE countries?  |  |  |
| 8. If your research involves low and/or lower middle-income countries, are benefits-sharing measures foreseen? |  |  |
| 9. Could the situation in the country put the individuals taking part in the research at risk?  |  |  |

|  |  |  |
| --- | --- | --- |
| Environment, Health and Safety | YES | NO |
| 10. Does your research involve the use of elements that may cause harm to the environment, to animals or plants? |  |  |
| 11. Does your research deal with endangered fauna and/or flora and/or protected areas?  |  |  |
| 12. Does your research involve the use of elements that may cause harm to humans, including research staff?  |  |  |

|  |  |  |
| --- | --- | --- |
| Misuse | YES | NO |
| 13. Does your research have the potential for malevolent/criminal/terrorist abuse? |  |  |

|  |  |  |
| --- | --- | --- |
| Other Ethics Issues  | YES | NO |
| 14. Are there any other ethics issues that should be taken into consideration? If yes, please specify:  |  |  |

Ethical Self-Assessment

If your answer was *Yes* to one or more questions in the Ethical Issue Table (above), you **must** elaborate how you plan to cope with this/these issue(s). Please note down in the following field at first the **number of the question(s)** you ticked in the Ethical Issue Table and then provide **information on how you address the mentioned ethical issue.** In addition, you can (if applicable) refer to the corresponding page of your research proposal.